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PATENT

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Technology Center 2100 IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Stanislav Khirman, Mark Ronald Stone, Oren Ariel and Ori Cohen  
SERIAL NO.: 09/397,491  
FILING DATE: September 15, 1999  
TITLE: METHOD AND APPARATUS FOR PROVIDING ADDITIONAL  
INFORMATION IN RESPONSE TO AN APPLICATION SERVER REQUEST  
EXAMINER: Not yet known  
GROUP ART UNIT: 2761  
ATTY. DKT. NO.: 5496

*Patent A*  
*8/1/2001*  
*767*

**CERTIFICATE OF MAILING**  
I hereby certify that this correspondence is being deposited via First-Class mail with the United States Postal Service in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on the date shown below:  
Dated: June 25, 2001 By: Rajiv P. Patel  
Rajiv P. Patel, Registration No. 39,327

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**PRELIMINARY AMENDMENT A**  
**UNDER 37 C.F.R. §1.111**

Sir:

Prior to the examination of the patent application identified above, please amend this application as indicated below.

*In the Claims:*

Kindly add the following new claims:

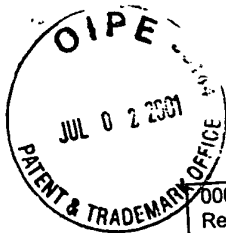
- 1 29. (New) A method of controlling access from a device on a network including a  
2 plurality of interconnected devices, the method comprising:

07/05/2001 HGBREH1 00000065 09397491

01 FC:232  
02 FC:232

00.00 OP  
126.00 OP

Case 5496 (Preliminary Amendment A)



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2/61

P  
+

PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Technology Center 2106

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO  
Rev. 10/95

U.S. Department of Commerce  
Patent and Trademark Office

# TRANSMITTAL FORM

(to be used for all correspondence during pendency of  
filed application)

Application Number	09/397,491
Filing Date	September 15, 1999
First Named Inventor	Stanislav Khirman
Group Art Unit Number	2761
Examiner Name	Not yet known
Attorney Docket Number	5496

Total Number of Pages in This Submission 8

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form (in duplicate)
  - ☒ Check Enclosed (\$206.00)
- ☒ Return Receipt Postcard
- ☐ Response to Notice to File Missing Parts
- ☐ Assignment & Recordation Cover Sheet
- ☐ Declaration
- ☐ Power of Attorney
- ☐ Application Data Sheet
- ☐ Information Disclosure Statement & PTO-1449
  - ☐ Copies of IDS Cited References
- ☐ Request for Corrected Filing Receipt
- ☐ Request for Correction of Recorded Assignment
- ☒ Preliminary Amendment: 5 Pages
  - ☐ After Final
- ☐ Status Request
- ☐ Revocation and Substitute Power of Attorney

- ☐ Issue Fee Transmittal
- ☐ Letter to Chief Draftsperson
- ☐ Formal Drawing(s):
  - [ ] Sheet(s) of Figure(s) [ ]
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)
- ☐ Certified Copy of Priority Document(s)
- ☐ After Allowance Communication to Group
- ☐
- ☐
- ☐
- ☐
- ☐
- ☐

REMARKS:

## SIGNATURE OF ATTORNEY OR AGENT

Signature: *Rajiv Patel* Dated: June 25, 2001  
Attorney/Reg. No.: Rajiv P. Patel, Reg. No. 39,327

## CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

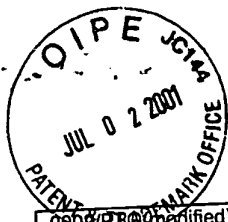
Signature: *Rajiv Patel* Dated: June 25, 2001  
Typed or Printed Name: Rajiv P. Patel  
Express Mail Mailing Number (optional):

22501/05496/DOCS/1184680.1

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Technology Center 2100

PTO/SB/17 (10-00)(modified)  
Approved for use through 09/29/01, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE0608/PTR (modified)  
Rev. 10/2000U.S. Department of Commerce  
Patent and Trademark Office

## FEE TRANSMITTAL

## TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 206.00)

## Complete if Known

Application Number	09/397,461
Filing Date	September 15, 1999
First Named Inventor	Stanislav Khirman
Group Art Unit	2761
Examiner Name	Not yet known
Attorney Docket Number	5496

## METHOD OF PAYMENT

## 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.<sup>1</sup>
- ☐ Applicant claims small entity status See 37 CFR 1.27

Deposit Account Number: 19-2555  
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Other

## FEE CALCULATION (fees effective 10/01/2000)

## 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$710	201/\$355	Utility Filing	
106/\$320	206/\$160	Design Filing	
108/\$710	208/\$355	Reissue	
114/\$150	214/\$75	Provisional Filing	

SUBTOTAL (1) (\$ 0.00)

## 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$80	202/\$40	Independent claims in excess of 3
104/\$270	204/\$135	Multiple dependent claim
109/\$80	209/\$40	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

## 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month <sup>1</sup>	
116/\$390	216/\$195	Extension for response within second month <sup>1</sup>	
117/\$890	217/\$445	Extension for response within third month <sup>1</sup>	
118/\$1,390	218/\$695	Extension for response within fourth month <sup>1</sup>	
128/\$1,890	228/\$945	Extension for response within fifth month <sup>1</sup>	
119/\$310	219/\$155	Notice of Appeal	
141/\$1,240	241/\$620	Petition to revive unintentionally abandoned application	
142/\$1,240	242/\$620	Utility Issue Fee (Or Reissue)	
143/\$440	243/\$220	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
126/\$180	126/\$180	Submission of Information Disclosure Statement	
179/\$710	279/\$355	Request for Continued Examination (RCE)	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$710	246/\$355	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$710	249/\$355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify):

Other fee (specify):

SUBTOTAL (3) (\$ 0.00)

(Col. 1)		(Col. 2)		(Col. 3)		Fee	Fee Due
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**		
TOTAL	42	minus*	20 or 28	=	14	9	126
INDEP	5	minus*	3 or 3	=	2	40	80

[ ] First presentation of multiple dependent claim

SUBTOTAL (2) (\$ 206)

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

## SUBMITTED BY

Typed or Printed Name: Rajiv P. Patel

Signature:

22501/05496/DOCS/1184682.1

## Complete (if applicable)

Reg. Number: 39,327

Date: June 25, 2001

Rev. 09/30/2000

<sup>1</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby